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SUPREME COURT NO. <u>100882-1</u> COA NO. 80259-3-I

#### IN THE SUPREME COURT OF WASHINGTON

STATE OF WASHINGTON,

Respondent,

٧.

KEITH RAWLINS,

Petitioner.

ON APPEAL FROM THE SUPERIOR COURT OF THE STATE OF WASHINGTON FOR SKAGIT COUNTY

The Honorable Brian Stiles, Judge

PETITION FOR REVIEW

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#### A. <u>IDENTITY OF PETITIONER</u>

Keith Rawlins asks the Supreme Court to accept review of the Court of Appeals decision designated in Part B of this petition.

#### **B.** COURT OF APPEALS DECISION

Rawlins requests review of the decision in <u>State v.</u>

<u>Keith Rawlins</u>, Court of Appeals No. 80259-3-I (slip op. filed March 28, 2022), attached as an appendix.

#### C. ISSUES PRESENTED FOR REVIEW

- 1. During voir dire, a prospective juror disclosed she could not be impartial because her homeless, drug addicted daughter had interacted with Rawlins. Did the court's refusal to grant a mistrial after the jury pool was polluted by this information violate Rawlins's constitutional right to a fair and impartial jury?
- 2. Whether the court erred in failing to hold a complete evidentiary hearing to determine whether a

constitutionally protected attorney-client communication was intercepted by a state actor?

- 3. Whether the medical record showing injury due to the motor vehicle accident constitutes inadmissible hearsay because the State failed to satisfy the foundation requirements for the business record exception and, if so, whether the error is preserved for review?
- 4. Whether the State failed to prove the violation of Rawlins's constitutional right to jury unanimity was harmless beyond a reasonable doubt?
- 5. Whether the conviction for unlawfully possessing a firearm under count 4 and the remaining unlawful possession of firearm convictions are the same criminal conduct?
- 6. Whether the court erred in undoing the exceptional 30-month firearm enhancement terms and replacing them with 60-month terms while the case remained on appeal because (a) the State needed to file

a cross-appeal to obtain this relief but didn't or (b) the State is judicially estopped from obtaining this relief and, even if this relief is permitted, whether the court erred in failing to consider an exceptional sentence on a different basis?

#### D. STATEMENT OF THE CASE

This case stems from a March 19, 2018 shooting in Burlington where witnesses observed a red Dodge Caravan chasing a Dodge Caliber, followed by the sound of gunshots. 1RP<sup>1</sup> 250-55, 322-24, 329-30, 334-35, 336-41, 823, 899-900. There was two people in the Caravan, one driving and one at the open sliding door. 1RP 339-40, 900, 907-08.

Responding to the scene, police located a wrecked Dodge Caliber in a nearby field with no one inside. 1RP

<sup>&</sup>lt;sup>1</sup> The verbatim report of proceedings is cited as follows: 1RP - 6/20/19, 7/11/19, 7/15/19, 7/16/19, 7/17/19, 7/18/19, 7/19/19, 7/22/19, 7/24/19, 7/31/19; 2RP - 7/23/19; 3RP - 7/25/19; 4RP - 4/23/21, 4/27/21, 4/28/21.

274-76, 301-03. They observed bullet damage to the vehicle, and, inside the vehicle, \$1877 in cash, a digital scale, and two baggies of presumed narcotics. 1RP 275, 281, 307-08, 452, 472, 477-78, 485, 489. Two teenagers, later identified as JF and JC, appeared at a nearby neighbor's house requesting assistance. 1RP 418-19, 923.

At trial, JC testified that he and JF drove from Bellingham to Burlington on March 19 to buy marijuana from someone he knew as "Keith." 1RP 785-86. JF did not testify at trial. JC identified "Keith" as Rawlins at trial. 1RP 794-95. Pretrial, JC pointed out "Keith" in a montage but did not circle his selection. 1RP 793-94, 802-04, 850-52, 860, 870. On cross-examination, JC said he did not make a selection at the initial showing. 1RP 802.

According to JC, he and JF drove off after JF returned from meeting with Keith in the van, whereupon they were chased and, at one point, rammed from behind.

1RP 787-92. Keith was in the driver's seat of the van.

1RP 799-800. JC had no clue who shot at them. 1RP 801. JC ended up crashing and the occupants of the Caliber ran off. 1RP 792.

Police identified Rawlins as a possible suspect based on their investigation. 1RP 516. On March 20, police executed a search warrant for an address in Bellingham where Rawlins was believed to be located. 1RP 516-18. Rawlins and his wife exited a trailer on the property, which police searched. 1RP 556-57, 602.

In the trailer, police found baggies of various controlled substances including methamphetamine and heroin, digital scales, and documents under Rawlins's name. 1RP 566, 570-75, 612-24, 626-28, 761, 845-48, 940-41, 945, 2RP 18-25, 47-50.

Police also recovered a rifle and a shotgun in the trailer closet. 1RP 631-35, 843. In an open safe, police found a Springfield handgun sitting on top of documents addressed to Rawlins. 1RP 556-57, 560, 563-65. The

gun was loaded with nine-millimeter Luger rounds, which matched casings found at the scene of the shooting and the bullet pulled from the Caliber's dashboard. 1RP 560, 688, 704-05, 717.

A Dodge Caravan on the property was consistent with surveillance video and eyewitness descriptions of the vehicle from the previous night's incident. 1RP 534-36, 833. The Caravan had front-end damage consistent with the impact to the Caliber described by Connell. 1RP 536, 834-35. In the Caravan, police recovered Rawlins's Washington ID, a drug transactions ledger, nine-millimeter bullets, and a loaded nine-millimeter magazine for a Springfield handgun. 1RP 538-51, 610, 741, 835, 838.

Neither the trailer nor the Caravan were registered to Rawlins. 1RP 743-44. A different address was listed on Rawlins's identification card. 1RP 747-48.

A cell phone taken from Rawlins had text messages consistent with setting up controlled substance deliveries. 1RP 526, 531-32, 937; 2RP 21-22. Cell phone data showed the phone traveled between Burlington and Bellingham on the night of the shooting. 1RP 658-59, 662-66, 753-54, 772-74. 753-54, 768, 772; 2RP 64-66.

The defense to the drive-by shooting, assault and hit and run charges was mistaken identity. 1RP 1020. Counsel alternatively argued Rawlins was not an accomplice to the drive-by shooting and assaults. 1RP 1022-25. The defense to the drug and firearm charges was that the trailer was a kind of flophouse accessible to many people, and the State did not prove Rawlins had dominion and control over it. 1RP 1027-30.

The jury convicted Rawlins of drive-by shooting, two counts of first degree assault with firearm enhancements; three counts of unlawful possession of a firearm; hit and run injury accident, possession with intent to manufacture

methamphetamine, possession of methamphetamine, and possession of heroin. CP 80-88, 90-92. At sentencing, the court imposed an exceptional sentence downward of 30 months on each of the firearm enhancements based on agreement of the parties. CP 102, 104, 120.

Rawlins raised various arguments on appeal, including that the court erred in failing to hold an evidentiary hearing on his claim that jail staff intercepted attorney-client communications, resulting in the violation of his Sixth Amendment right to privately confer with counsel. The Court of Appeals remanded for an evidentiary hearing on this matter. State v. Rawlins, 16 Wn. App. 2d 1080, 2021 WL 1096239, at \*1 (2021). It also remanded to address the effect of State v. Blake, 197 Wn.2d 170, 481 P.3d 521 (2021) on Rawlins's case and to correct other errors conceded by the State. Id.

On remand, the trial court held the evidentiary hearing and concluded no Sixth Amendment violation occurred. CP 374-76. At the State's request, and over defense objection, the trial court undid the exceptional mitigated sentence and increased the terms of the firearm enhancement to 60 months each. CP 304-06, 359; RP 233-38, 243.

The Court of Appeals affirmed on the remaining issues and found no problem with increasing the firearm enhancement terms on remand. Slip op. at 1, 36-40.

#### E. WHY REVIEW SHOULD BE ACCEPTED

1. RAWLINS WAS DENIED HIS CONSTITUTIONAL RIGHT TO A FAIR AND IMPARTIAL JURY WHEN JURORS LEARNED OF PRIOR DRUG ACTIVITY, THE CIRCUMSTANCES OF WHICH TAINTED THE JURY VENIRE.

At the beginning of voir dire, the judge read the charges to the prospective jurors, including the drug charges. 1RP 85. During voir dire, the judge asked if

anyone has "any personal experiences similar to the type of case that we're dealing with in this case, either as the defendant, the victim, as the witness, that sort of thing." 1RP 105. Juror 48 volunteered the following:

JUROR NO. 48: So I have a daughter who has been homeless and on drugs in Bellingham for 10 years, 8 to 10 years. I know she's probably had some interaction, and I cannot be impartial. I can't, I can't.

THE COURT: Okay. I don't want to go into a lot of details about that, but you are telling me that you have some personal experiences or opinions perhaps of this sort of case of the parties involved and that sort of thing. Would that make it impossible for you to sit as a fair juror to everybody concerned?

JUROR NO. 48: I can't be impartial. It wouldn't be fair to him. I don't want to elaborate because I'll get personal, and that's not right either. I just can't be impartial.

THE COURT: Sure, well, I think it's pretty clear to me. Ms. Sebens or Ms. Ryan, any comments?

MS. SEBENS: No, Your Honor.

THE COURT: Mr. Hennessey or Ms. Sloan, anything?

MR. HENNESSEY: I have nothing.

MS. SLOAN: No, Your Honor.

THE COURT: So Juror Number 48, thank you for bringing those issues up. I'll excuse you at this time. 1RP 108-09.

During a subsequent break, defense counsel moved for a "mistrial" based on Juror 48's comments. 1RP 117. Counsel put on the record that Juror 48 pointed with her card and gestured at Rawlins when she said "I know she's probably had some interaction, and I cannot be impartial. I can't. I can't." 1RP 117. Counsel argued the panel was tainted in learning of Juror 48's knowledge that Rawlins had interacted with the juror's daughter in relation to drug activity. 1RP 117-18.

The judge refused to bring in a new jury panel because it did not think the entire panel was tainted. 1RP 119-20. Defense counsel renewed the motion the following day. 1RP 212, 222-26. The judge said "it's clear that she made statement that her daughter had been on the streets for ten years or so; that she was involved in drugs and kind of pointed with her card in her hand and was looking directly towards Mr. Rawlins, the

defendant, and made statements to the effect that, and I believe she's had contact with Mr. Rawlins of some sort." 1RP 229-30. But, according to the court, Juror 48's statements were not so prejudicial as to deprive Rawlins of a fair trial. 1RP 230-31.

Rawlins had the constitutional right to a fair and impartial jury. State v. Irby, 187 Wn. App. 183, 192-93, 347 P.3d 1103 (2015); Taylor v. Louisiana, 419 U.S. 522, 526, 95 S. Ct. 692, 42 L. Ed. 2d 690 (1975); U.S. Const. amend. VI; Const. art. I, §§ 21, 22.

On appeal, Rawlins argued his claim tainted venire claim should be reviewed de novo as a mixed question of law and fact, citing, *inter alia*, State v. Strange, 188 Wn. App. 679, 684-85, 354 P.3d 917, review denied, 184 Wn.2d 1016, 360 P.3d 818 (2015); In re Dependency of E.H., 191 Wn.2d 872, 895, 427 P.3d 587 (2018); Irvin v. Dowd, 366 U.S. 717, 723, 81 S. Ct. 1639, 6 L. Ed. 2d 751 (1961). The Court of Appeals rejected this argument,

holding the standard is abuse of discretion. Slip op. at 16-17. Rawlins seeks review to determine the proper standard of review for this recuring claim. RAP 13.4(b)(4).

On appeal, Rawlins argued proceeding with a jury panel tainted by prejudicial information is structural error. The Court of Appeals declined to follow the Ninth Circuit's "equivocal application" of the structural error doctrine in Mach v. Stewart, 137 F.3d 630, 633 (9th Cir. 1997). Slip op. at 18. The Court of Appeals did not cite United States v. Iribe-Perez, 129 F.3d 1167, 1171-1172 (10th Cir. 1997), which unequivocally held that it was structural error for the jury panel to learn the defendant had intended to plead guilty. Rawlins seeks review to determine whether a tainted jury panel claim should be addressed as structural error as opposed to mere trial irregularity. RAP 13.4(b)(3).

Even if the trial irregularity standard applies, it was error for the trial court not to order a new jury panel. The

reviewing court examines "(1) the seriousness of the irregularity; (2) whether it involved cumulative evidence; and (3) whether the trial court properly instructed the jury to disregard it." State v. Young, 129 Wn. App. 468, 473, 119 P.3d 870 (2005), review denied, 157 Wn.2d 1011, 139 P.3d 350 (2006).

The irregularity here is serious because it implicated Rawlins in past drug behavior similar to that for which he stood trial. "[T]he risk that the verdict will be improperly based on considerations of the defendant's propensity to commit the crime charged . . . is especially great when the prior offense is similar to the current charged offense."

Id. at 475.

The Court of Appeals, like the trial court, misapplied the cumulative evidence factor. Both courts said the irregularity was cumulative because evidence of Rawlins's dealing and possession of controlled substances was properly put before the jury. 1RP 231; Slip op. at 22. The

point of this factor is to assess whether the jury would have heard the irregular evidence anyway in some proper fashion, thus blunting the otherwise prejudicial effect of the irregularity. See State v. Taylor, 18 Wn. App. 2d 568, 582, 490 P.3d 263 (2021). This must be the point because the prejudicial effect from being exposed to otherwise inadmissible information is amplified when such information is similar to the charged offense. Young, 129 Wn. App. at 475. The jury did not hear Rawlins had personal interaction with Juror 48's daughter in a drug capacity. This is extra-judicial information. So Juror 48's remark was not cumulative of properly admitted evidence.

As for the third factor, the trial court never instructed jurors to disregard Juror 48's remarks. Non-specific, boilerplate instructions to decide the case fairly based on the evidence do not substitute for a specific instruction to disregard the irregularity. The irregularity violated

Rawlins's right to a fair trial by impartial jury, warranting review under RAP 13.4(b)(3).

# 2. MORE EVIDENCE NEEDS TO BE TAKEN TO FAIRLY RESOLVE RAWLINS'S SIXTH AMENDMENT CLAIM.

"The Sixth Amendment guarantees a criminal defendant the right to assistance of counsel, which includes the right to confer privately with that counsel."

State v. Peña Fuentes, 179 Wn.2d 808, 811, 318 P.3d 257 (2014). State intrusion into private attorney-client communications violates that fundamental right.

Shillinger v. Haworth, 70 F.3d 1132, 1142 (10th Cir. 1995).

At the evidentiary hearing on remand, Rawlins testified that he gave a handwritten letter to his attorney containing trial strategy that his attorney later dropped off at the jail front desk but the jail did not return to him. 4RP 130-41, 147. Hennessey, his attorney, testified that he had no recollection of receiving the document at issue from

Rawlins and his notes did not reflect it. 4RP 180-81, 188, 200. In preparing for the evidentiary hearing, Hennessey did a "pretty quick scan" of the 2,800-page case file "probably three or four times" and did not find trial blueprints related to what Rawlins had given him on March 14, 2019. 4RP 186. No one from the jail, law enforcement, or the prosecutor's office admitted to seeing the document at issue. 4RP 11-12, 14-16, 22, 36-37, 93-95, 97, 100-03.

The trial court concluded the jail did not intercept a protected communication and there was no Sixth Amendment violation by the prosecutors or law enforcement. CP 376. In addressing whether a state actor infringed a Sixth Amendment right, the court stated: "I didn't hear anything in this hearing that Mr. Hennessey or anybody went back and looked through the 2,800 pages of digitized records, whether there was a letter or

not, confirm whether there was one in the first place." 4RP 227.

The evidentiary hearing did not fill in all the gaps that needed filling. Rawlins requests remand under RAP 12.2 for another evidentiary hearing to address the questions left unanswered at the first hearing.

No one did a thorough search of Hennessey's case file to see if the document at issue was present. Hennessey's "quick scan" was not going to reveal with any reasonable certainty whether the two pages at issue were there. It's like looking for a needle in a haystack. The judge saw it this way, expressing clear dissatisfaction at this gap in the evidence. 4RP 227. Doing a thorough examination of the case file would seem to be the first order of business for an evidentiary hearing of this nature, given that the very existence of the document described by Rawlins was at issue.

Another deficiency in the evidentiary hearing is the lack of any testimony from Warren and Vader, the two jail officers who worked the front desk back in March 2019, one of whom would have been the officer who took the piece of mail dropped off by Hennessey. 4RP 71, 87. Sergeant Storie relayed hearsay that Vader had no recollection, while Storie did not speak to Warren at all. 4RP 71, 87. The fact that Warren and Vader are now retired does not change the fact that their knowledge is material to the question of whether the jail intercepted a privileged communication.

Rawlins maintains his challenges to the factual findings and conclusions entered on remand. Rawlins seeks review of this issue under RAP 13.4(b)(3).

# 3. THE HIT AND RUN CONVICTION MUST BE REVERSED BECAUSE THE COURT ADMITTED A MEDICAL RECORD IN VIOLATION OF THE HEARSAY RULE.

The State sought to admit a medical document into evidence. 1RP 782, 881; Ex. 614. Defense counsel objected on grounds of hearsay. 1RP 782, 913-14. The prosecutor argued the document met the business record exception to the hearsay rule. 1RP 915. The trial court agreed. 1RP 916. Statements in the document link JF's heel contusion with the motor vehicle accident. Ex. 614, p. 2-4, 8, 12. The State relied on this document to establish the injury element of the hit and run charge. 1RP 997-98.

The admission of the medical record was error because the State did not satisfy the foundation requirements to admit the document under the business record hearsay exception. The State did not establish (1) the record was made at or near the time of the act,

condition or event; (2) the method of preparation; (3) the record was of an act, condition or event, as opposed to a record that involves skill of observation, analysis, and professional judgment, such as opinion and statements as to causation. 1RP 781-82; RCW 5.45.020; In re Welfare of J.M., 130 Wn. App. 912, 924, 125 P.3d 245 (2005); State v. Kreck, 86 Wn.2d 112, 118, 542 P.2d 782 (1975).

The Court of Appeals rejected this argument on the ground that "Rawlins waived the issue when he did not challenge the admissibility of this record under the business records exception at trial." Slip op. at 23. This conflicts with case law showing a hearsay objection preserves the error for review.

In <u>State v. Guloy</u>, defense counsel objected on grounds of hearsay to two out-of-court statements and the State responded that they fell within an exception to the hearsay rule. <u>State v. Guloy</u>, 104 Wn.2d 412, 422-23, 705

P.2d 1182 (1985). The question on appeal was whether the statements fell within the co-conspirator exception to the hearsay rule. <u>Id.</u> at 421-22. The Supreme Court held counsel's hearsay objection was sufficiently specific to preserve the issue for appeal. <u>Id.</u> at 423.

The Supreme Court did not require trial counsel to articulate why the statements did not meet the exception. The specific ground for objection was "hearsay" and that was sufficient to preserve the issue for appeal. Accord State v. Jackson, 46 Wn. App. 360, 367-68, 730 P.2d 1361 (1986) (hearsay objection to child's out-of-court statements sufficient to alert the trial court that it must find the requisites of RCW 9A.44.120 before admitting the statements under this statutory exception to the hearsay rule).

As in <u>Guloy</u>, Rawlins raised a hearsay objection. It then became the State's obligation to establish the document met a hearsay exception. It failed to do so, so

the document remained hearsay. The State must lay the proper foundation for the business record exception to apply. State v. Hopkins, 134 Wn. App. 780, 788, 142 P.3d 1104 (2006), review denied, 160 Wn.2d 1020, 163 P.3d 793 (2007). Defense counsel, having objected based on hearsay, did not then have the burden of establishing a hearsay exception did not apply. The Court of Appeals decision that Rawlins waived the error conflicts with Guloy, warranting review under RAP 13.4(b)(1).

# 4. THE LACK OF UNANIMITY INSTRUCTION VIOLATED RAWLINS'S CONSTITUTIONAL RIGHT TO A UNANIMOUS JURY VERDICT.

In criminal prosecutions, the accused has a constitutional right to a unanimous jury verdict. State v. Ortega-Martinez, 124 Wn.2d 702, 707, 881 P.2d 231 (1994); Ramos v. Louisiana, \_\_U.S.\_\_, 140 S. Ct. 1390, 1397, 206 L. Ed. 2d 583 (2020). "[A] defendant may be convicted only when a unanimous jury concludes that the

criminal act charged in the information has been committed." State v. Petrich, 101 Wn.2d 566, 569, 683 P.2d 173 (1984). To ensure jury unanimity in a multiple acts case, either the State must elect the act upon which it will rely for conviction or the trial court must instruct the jury that all jurors must agree that the same underlying criminal act has been proven beyond a reasonable doubt. State v. Kitchen, 110 Wn.2d 403, 411, 756 P.2d 105 (1988).

The Court of Appeals agreed there was a unanimity error because the State failed to provide a unanimity instruction or clearly elect the specific act on which it based the charge of unlawful possession of the Springfield handgun in Count 4. Slip op. at 25.

The Court of Appeals, however, deemed the error harmless because the evidence was sufficient to establish "Rawlins or an accomplice" shot the Springfield firearm and the evidence was sufficient to establish constructive

possession of this firearm in Burlington and in Bellingham.

Slip op. at 30-32. This approach turns the constitutional harmless error standard on its head.

Sufficiency of the evidence — where the defendant is deemed to admit the truth of the State's evidence and all reasonable inferences are drawn in favor of the State and most strongly against the defendant — is analyzed under a more deferential standard of review than is harmless error. State v. Greer, 62 Wn. App. 779, 790 n.5, 815 P.2d 295 (1991).

In the jury unanimity context, by contrast, the error is presumed prejudicial, which is overcome "only if no rational juror could have a reasonable doubt as to any of the incidents alleged." State v. Coleman, 159 Wn.2d 509, 512, 150 P.3d 1126 (2007). The Court of Appeals did not cite or apply this controlling standard. A rational juror could entertain doubts regarding either incident of possession.

Evidence of possession in Burlington was debatable, as no one saw Rawlins actually in possession of the gun. The to-convict instruction for this count did not permit the jury to convict based on accomplice liability. CP 61. The to-convict instructions for drive-by shooting and firstdegree assault included accomplice liability as a basis to convict (CP 49, 54, 60), whereas the unlawful possession of firearm counts did not. CP 61 (Springfield firearm, count 4), 66-67 (counts 6 and 7). The inclusion of accomplice language in some to-convict instructions but not others precluded the jury from relying on accomplice liability for those counts in which there is no accomplice language in the to-convict instruction. See State v. Willis, 153 Wn.2d 366, 374-75, 103 P.3d 1213 (2005) (jury instruction for a firearm enhancement that failed to include the phrase "or an accomplice" required the State to prove the defendant himself was armed in order to convict the defendant of being armed with a firearm under the law of the case doctrine).

As for possession of the firearm in the Bellingham trailer, the trailer was not registered to Rawlins. 1RP 743-44. Police found the firearm in an open safe rather than on Rawlins. 1RP 556-57, 560. Rawlins was not alone in the trailer. 1RP 602. The property was associated with lots of different people and people were asked to leave before law enforcement executed the search warrant. 1RP 596-97. A rational juror could find the State did not prove Rawlins had possession of the firearm beyond a reasonable doubt.

The evidence does not necessarily show that Rawlins possessed the firearm in one or the other instance. As a result, the unanimity error is not harmless beyond a reasonable doubt and the conviction must be reversed. The Court of Appeals decision conflicts with

the constitutional harmless error standard set forth in Coleman, warranting review under RAP 13.4(b)(1).

5. THE COURT ERRED IN FAILING COUNT THE OFFENSE INVOLVING POSSESSION THE SPRINGFIELD OF FIREARM AS PART OF THE SAME CRIMINAL CONDUCT AS THE OTHER FIREARM POSSESSION OFFENSES.

Offenses that encompass "the same criminal conduct" are counted as one crime for sentencing purposes. RCW 9.94A.589(1)(a). "Same criminal conduct" is defined as two or more crimes that require the same criminal intent, are committed at the same time and place, and involve the same victim. RCW 9.94A.589(1)(a).

Police recovered three firearms from the Bellingham trailer, including the Springfield handgun that formed the basis for unlawful possession under count 4. 1RP 556-57, 560, 631-35, 843. The trial court ruled Rawlins possessed the Springfield handgun in Burlington where the shooting occurred, so the same time and place elements were not

met in relation to possessing the other firearms in the Bellingham trailer. 1RP 1052-53. The court abused its discretion or misapplied the law because that view of the evidence is predicated on accomplice liability, the toconvict instructions for the firearm offenses did not authorize a finding of guilt based on accomplice liability, and the rule of lenity requires the ambiguous jury verdict be interpreted in Rawlins's favor.

Evidence showed Rawlins was the driver of the van used in the Burlington shooting. 1RP 799-800. No evidence placed the firearm in his hands or on his person. Another person in that van had the firearm, shooting it, as shown by evidence that there was a person at the open sliding door of the van. 1RP 900, 907-08.

Again, the to-convict instruction for the Springfield handgun under count 4 did not permit the jury to find guilt based on accomplice liability. CP 61; Willis, 153 Wn.2d at 374-75. In determining same criminal conduct, whether

the jury relied on accomplice liability figures into the analysis. State v. Taylor, 90 Wn. App. 312, 317, 320-22, 950 P.2d 526 (1998). In considering whether offenses are same criminal conduct, the rule of lenity applies in the defendant's favor where the verdict is unclear on whether jury relied on accomplice liability conduct to find guilt. Id.

Under <u>Taylor</u>, the rule of lenity requires the verdict be interpreted in a manner favorable to Rawlins, i.e., that he was found guilty of possessing the firearm in the Bellingham trailer, not in Burlington. The Court of Appeals decision, in affirming the trial court's ruling, conflicts with <u>Taylor</u>, warranting review under RAP 13.4(b)(2).

# 6. THE EXCEPTIONAL SENTENCE ON THE FIREARM ENHANCEMENTS SHOULD BE REINSTATED.

If the State wanted to challenge the exceptional downward sentence on the firearm enhancements, then it needed to file a cross-appeal. Under RAP 5.1(d), "a notice of cross appeal is essential if the respondent seeks

affirmative relief as distinguished from urging additional grounds for affirmance." <u>In re Doyle</u>, 93 Wn. App. 120, 127, 966 P.2d 1279 (1998), <u>review denied</u>, 139 Wn.2d 1022, 994 P.2d 847 (2000).

The State, however, could not cross-appeal the enhancement issue because it not only agreed but expressly lobbied for the exceptional sentence on the enhancements. 3RP 1056; CP 120. The State could not cross appeal because it was not an aggrieved party. RAP 3.1.

The Court of Appeals said the error can be corrected by way of a CrR 7.8 motion. Slip op. at 39. That is true, but the State has never filed a CrR 7.8 motion. CP 304-06.

Judicial estoppel should also prevent the change in the enhancement terms. The judicial estoppel doctrine is intended to prevent the "improper use of judicial machinery." New Hampshire v. Maine, 532 U.S. 742, 750, 121 S. Ct. 1808, 149 L. Ed. 2d 968 (2001) (quoting

Konstantinidis v. Chen, 626 F.2d 933, 938 (D.C. Cir. 1980)). The doctrine "protect[s] the integrity of the judicial process by prohibiting parties from deliberately changing positions according to the exigencies of the moment." Skinner v. Holgate, 141 Wn. App. 840, 849, 173 P.3d 300 (2007). In this case, the State seized on the exigency of the Court of Appeals remand order as an opportunity to increase the enhancement terms by changing its earlier position on the matter. The State ambushed Rawlins through procedural chicanery.

The Court of Appeals stated defense counsel at resentencing requested an exceptional sentence downward on a different basis but that the trial court "refused this request." Slip op. at 38.

Nothing in the record shows the court considered whether to impose an exceptional sentence downward on remand. The court simply decided to correct the enhancement terms, announced its intention to let the

Court of Appeals sort out the mess, and imposed a standard range sentence. 4RP 242-43. "While no defendant is entitled to an exceptional sentence below the standard range, every defendant is entitled to ask the trial court to consider such a sentence and to have the alternative actually considered." State v. Grayson, 154 Wn.2d 333, 342, 111 P.3d 1183 (2005). "The failure to consider an exceptional sentence is reversible error." Id. Remand for the court to consider an exceptional sentence on a different basis is appropriate if the amended enhancement terms are permitted. Rawlins seeks review under RAP 13.4(b)(4).

# F. CONCLUSION

For the reasons stated, Rawlins respectfully requests that this Court grant review.

I certify that this document was prepared using word processing software and contains 4,958 words excluding those portions exempt under RAP 18.17.

DATED this 27th day of April 2022.

Respectfully submitted,

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#### THE COURT OF APPEALS FOR THE STATE OF WASHINGTON

STATE OF WASHINGTON,	) No. 80259-3-I
Respondent,	) ) DIVISION ONE
V.	) UNPUBLISHED OPINION
KEITH RAWLINS,	)
Appellant.	) ) )

ANDRUS, A.C.J. — Keith Rawlins challenges his convictions and sentences for drive by shooting, two counts of first degree assault with firearm enhancements, three counts of unlawful possession of a firearm, hit and run, and possession of methamphetamine with intent to deliver. We affirm his convictions.

#### FACTUAL BACKGROUND

On March 19, 2018, Rawlins, driving a Dodge Caravan, chased down a Dodge Caliber after teenagers in that car attempted to purchase marijuana from him. State v. Rawlins, no. 80259-3-I, slip op. at 2-3, noted at 16 Wn. App. 2d 1080 (Wash. Ct. App. March 22, 2021). During the chase, either Rawlins or an accomplice inside the Caravan fired multiple shots at the Caliber, causing it to

<sup>&</sup>lt;sup>1</sup> https://www.courts.wa.gov/opinions/pdf/802593.pdf.

crash and injuring one of the teenagers. <u>Id.</u> In a subsequent search of Rawlins's home, law enforcement found several weapons and controlled substances. A jury convicted Rawlins of drive-by shooting; two counts of first degree assault, both with a firearm enhancement; three counts of unlawful possession of a firearm; hit and run; possession of methamphetamine and heroin; and possession of methamphetamine with intent to manufacture or deliver.

At Rawlins's original sentencing hearing, the State recommended an exceptional sentence on the two assault convictions based on the jury's finding that Rawlins committed these crimes with a firearm. But it asked the court to depart downward from the statutory duration of 60 months and impose only 30 months for each enhancement. The trial court accepted the recommendation and entered findings of fact and conclusions of law for the exceptional sentence:

Based upon the agreement of the parties and based upon the facts and circumstances of this case, the parties have agreed to an exceptional sentence with regards to the firearm enhancements as found by counts 2 and 3. The parties agree to impose a period of 30 months on each firearm enhancement for counts 2 and 3.

The court concluded that "[a]n exceptional sentence is appropriate upon the agreement of the parties. The Court imposes a downward sentence on each firearm enhancement of 30 months."

On appeal, Rawlins challenged—among other issues—his drug possession convictions under <u>State v. Blake</u>, 197 Wn.2d 170, 481 P.3d 521 (2021), certain conditions of community custody, and the imposition of discretionary legal financial obligations. The State conceded that the two drug possession convictions should be vacated and that the challenged community custody and legal financial

obligation provisions should be stricken from the judgment and sentence. As a result of these concessions, we permitted the trial court to conduct a new sentencing hearing:

... [W]e ask the trial court to conduct a new sentencing hearing to consider the effect, if any, of <u>Blake</u> on Rawlins's drug possession convictions, and to address the other errors conceded by the State on appeal. Pursuant to RAP 7.2, we authorize the trial court to modify Rawlins's judgment and sentence, if necessary.

<u>Id.</u> at \*10. We reserved ruling on the other assignments of error Rawlins raised on appeal.

On remand, the State argued for the first time that it had miscalculated Rawlins's offender scores on multiple counts and the trial court erred in imposing firearm enhancements of 30 months, maintaining that the court lacked the discretion to deviate downward in the duration of these enhancements. Over Rawlins's objection, the trial court granted the State's request to modify the sentence, corrected the errors in the offender score, and imposed two 60-month firearm enhancements to run consecutively to the base sentence "subject to seeking approval for the sentence from the Court of Appeals." The modified sentence is now 422 months of total confinement. While this sentence is 21 months shorter than the original sentence, it remains 60 months longer than it would have been if the court had not modified the firearm enhancement terms.

The State filed a RAP 7.2(e) motion to permit the trial court to enter the new judgment and sentence reflecting the changes to the offender scores and the firearm enhancement terms. <u>See</u> State's RAP 7.2(e) Motion filed April 30, 2021. This court granted the motion, but reserved ruling on the merits of Rawlins's

arguments regarding the validity or appropriateness of the trial court's new sentence. See Order entered May 28, 2021. Rawlins appeals from the modified judgment and sentence.

#### **ANALYSIS**

The following assignments of error remain after remand: (A) the trial court erred in denying his motion for a mistrial based on an allegedly prejudicial juror statement, (B) that the State violated his Sixth Amendment rights by intercepting attorney-client communications, and an associated ineffective assistance of counsel claim based on his defense attorney's failure to investigate Rawlins's claim that the jail had intercepted his letters, (C) the trial court erred in admitting one of the victim's medical records, (D) the jury instructions violated his right to jury unanimity on one count of unlawful possession of a firearm, (E) the trial court failed to consider his unlawful possession of a firearm convictions as the same criminal conduct for the purposes of sentencing, (F) the State did not present sufficient evidence that he was the individual who committed the drive-by shooting, and (G) the trial court erred in changing the duration of the two firearm enhancements from 30 months, as originally requested by the State, to the statutorily-mandated 60 months.

#### A. Sixth Amendment Claim relating to Legal Mail

Rawlins contends the trial court erred in concluding that the State did not violate his Sixth Amendment right to counsel by intercepting a four-page letter he wrote to his attorney laying out his "blueprint" trial strategy.

# 1. Facts relating to this claim

At a July 11, 2019 pretrial hearing, Rawlins complained to the court that his defense counsel, Devin Hennessey, had refused to file a CrR 8.3(b) motion to dismiss based on the jail staff's alleged interception of attorney-client mail. Defense counsel informed the court that he had no basis for filing such a motion.

On July 15, 2019, Rawlins again complained that the State had intercepted documents related to trial strategy. Defense counsel informed the court that Rawlins was upset with him for not bringing a motion to dismiss but, after reviewing relevant case law, he had concluded that "we would not be able to show the kind of record that would demonstrate the kind of prejudice that would make this kind of motion successful." He further explained that Rawlins's complaints stemmed from a document defense counsel had copied and returned to the jail at Rawlins's request, but he had no information about the contents of that document or Rawlins's allegation that the State had intercepted it. Rawlins described the document as "a four-page letter that . . . had places, and dates, and several names [of potential witnesses]." He stated that he had given his attorney the document on March 14, 2019 and requested that Hennessey make a copy for him. When he did not receive the copy, Rawlins called his defense attorney, who then spoke to a sergeant at the jail. Counsel confirmed that he had spoken to Sergeant Storie at the jail, who had informed him that the document the jail had received was discovery and, under jail policy, could not to be given to the inmate. prosecutors denied any knowledge of the document.

The court denied Rawlins's motion for a CrR 8.3 hearing, stating "I just don't have enough information at this point in time to validate these issues" and further found defense counsel had rationally justified his strategic decisions.

On appeal, Rawlins argued the trial court had erred in failing to conduct an evidentiary hearing into his claim that the jail had intercepted attorney-client communications in violation of the Sixth Amendment. This court remanded the case for an evidentiary hearing to address the factual and legal questions laid out in <u>State v. Irby</u>, 3 Wn. App. 2d 247, 415 P.3d 611 (2018), "and, if necessary, to fashion an appropriate remedy." Rawlins, slip op. at \*9.

The court conducted a three-day evidentiary hearing in April 2021. Rawlins testified that he had given a handwritten letter to his trial attorney "concerning trial strategies" that included names of potential witnesses, dates and times, addresses, and cell phone contact information. He testified that he handed Hennessey the letter in an envelope at the omnibus hearing on March 14, 2019 and that Hennessey agreed to return a copy of the document to him the following day, but when he called Hennessey's office the following week, he was told that Hennessey had dropped the letter off at the front desk of the jail. Rawlins said he never received it and it was not in the "discovery" box at the jail when he checked.

The State presented testimony from each of the three prosecutors who worked on Rawlins's trial, the lead detective on the case, and Sgt. Storie. Each testified that they had not intercepted or seen the missing document. Sgt. Storie explained the jail's policy regarding the delivery of discovery and attorney-client mail to inmates. Attorneys who wish to deliver such material to their clients may

leave it at the front desk. Discovery is put in a filing cabinet in the property room, where it can be accessed by the inmate upon request. Legal mail containing no discovery goes into a basket and is later delivered to the inmate. Sgt. Storie testified that after reviewing staff schedules and communicating with 25 jail employees, she could find no indication that anyone in the jail received, intercepted, or reviewed any legal mail belonging to Rawlins.

Hennessey testified that he remembered Rawlins handing him an envelope at the March 14, 2019 hearing, but his file records and notes did not reflect that what Rawlins gave him included any documents containing trial strategy. He had no recollection of ever seeing the four-page document Rawlins alleged to be missing. Hennessy also testified that Rawlins never gave him names of people he wanted called as witnesses, but that Rawlins told him to get any contact information on any potential defense witnesses from Rawlins's cell phone. Hennessey confirmed that after reviewing his file for this case three or four times, he could find no letter like the one Rawlins describes giving him on March 14. His notes reflect that he may have delivered discovery to Rawlins around this time period.

The trial court found that there was nothing to indicate that the document Hennessey delivered to the jail contained privileged information under the Sixth Amendment to the United States Constitution, that the jail improperly classified an attorney-client communication as "discovery," or that any letter Rawlins wrote to Hennessey was intercepted by any state actor. Accordingly, the court concluded that no state actor infringed Rawlins's Sixth Amendment rights.

#### 2. Analysis

We apply a four-step inquiry into the question of whether a defendant's Sixth Amendment rights have been violated, asking:

- 1. Did a state actor participate in the infringing conduct alleged by the defendant?
- 2. If so, did the state actor(s) infringe on a Sixth Amendment right of the defendant?
- 3. If so, was there prejudice to the defendant? That is, did the State fail to overcome the presumption of prejudice arising from the infringement by not proving the absence of prejudice beyond a reasonable doubt?
- 4. If so, what is the appropriate remedy to select and apply, considering the totality of the circumstances present, including the degree of prejudice to the defendant's right to a fair trial and the degree of nefariousness of the conduct by the state actor(s)?

<u>Irby</u>, 3 Wn. App. 2d at 252.

At issue here is only the second element of the <u>Irby</u> test. In <u>Irby</u>, we held that jail guards opening and reading privileged attorney-client correspondence infringes on the Sixth Amendment right to counsel. 3 Wn. App. 2d at 256. The trial court here found that the jail guards did not read any such protected correspondence and that no infringement occurred.

We review a claim of a denial of Sixth Amendment rights de novo. State v. Jones, 168 Wn.2d 713, 719, 230 P.3d 576 (2010). But when a trial court has weighed evidence, evaluated the credibility of witnesses and made factual findings, the substantial evidence standard of review applies. Seattle Police Dep't v. Jones, 18 Wn. App. 2d 931, 942, 496 P.3d 1204 (2021); State v. Homan, 181 Wn.2d 102, 105-06, 330 P.3d 182 (2014). "Substantial evidence" is "'evidence sufficient to persuade a fair-minded, rational person of the truth of the finding.' "State v. Levy, 156 Wn.2d 709, 733, 132 P.3d 1076 (2006) (quoting State v.

Mendez, 137 Wn.2d 208, 214, 970 P.2d 722 (1999). We do not disturb a trier of fact's credibility determinations on appeal. State v. Camarillo, 115 Wn.2d 60, 71, 794 P.2d 850 (1990).

Rawlins first challenges the finding that "there is nothing to indicate" that the enveloped document given to Hennessey at the omnibus hearing "was a blueprint to trial tactics or similar." Rawlins argues that there was evidence to the contrary—specifically, his testimony and evidence of his contemporaneous complaints to his attorney and jail staff indicating that the missing document contained trial tactics.

But the trial court was not required to find Rawlins's testimony credible. The court's finding is supported by Rawlins's inconsistent descriptions of the document he claimed was intercepted by the jail guards. At the evidentiary hearing, Rawlins characterized the document as

a handwritten letter . . . concerning trial strategies. . . . It was names of potential witnesses . . . some partial addresses, information concerning my cell phone contact list. . . . I think I even mentioned a couple medications in there of my wife, that my wife was taking, and a recent surgery that she had had, important personal information.

But in Rawlins's contemporaneous complaints to jail staff, he never described the document he claimed he provided to Hennessey; he only referred to it as "legal mail" and when asked by Sgt. Storie for a more specific description of the document, he did not respond.

Moreover, Hennessey testified that Rawlins never gave him any such list of potential trial witnesses or trial strategy letter. Hennessey further testified that his records do not indicate that Rawlins ever gave him any such document. In light of this evidence, a reasonable fact-finder could find that the record does not indicate

that whatever document Rawlins gave Hennessey on March 14, 2019, did not constitute a letter laying out his proposed trial strategy.

Moreover, while Rawlins may have personal knowledge of what he handed Hennessey at the March 14 hearing, he has no knowledge of exactly what Hennessey delivered to him on March 18. Given that Hennessey had no recollection of ever receiving a trial strategy letter from Rawlins and could find no such letter in his files, and given that Hennessey's contemporaneous notes suggest he delivered discovery to Rawlins around the same time, the court could easily find it more than probable that whatever Hennessey dropped off at the jail on March 18 was not a copy of Rawlins's trial strategy. If Hennessey did not bring a copy of this letter back to the jail, then there would have been no attorney-client communication to be intercepted or read by any state actor.

Rawlins also challenges the trial court's finding that "Hennessey's notes, made to his file at that time, all indicate that the materials he received from Rawlins on March 14 were materials that would not constitute Sixth Amendment privileged communications." The record contains several notes from Hennessey's case file made during Rawlins's trial. In one undated note, Hennessey wrote on a copy of the <a href="Irby">Irby</a> decision: "Prejudice to Keith fair trial: - materials were related to civil suit, calendar, and were discovery that I received from the State." In a note date July 14, 2019, Hennessey wrote that the document "had discovery." None of Hennessey's notes indicate materials he received from Rawlins were attorney-client privileged communications. There is substantial evidence supporting this finding.

Next, Rawlins challenges the trial court's finding that "Hennessey brought documents to the jail on March 18, 2019, for Rawlins. Those items were determined by the jail to be 'discovery.' "He argues that substantial evidence does not support this finding because no one from the jail testified as such. But when Rawlins first complained about the missing "legal mail," the jail staff responded that documents were discovery and treated as such. This finding is further supported by Hennessey's case notes characterizing the document as discovery.

Finally, Rawlins challenges the trial court's finding that the document "was not intercepted by the jail or any state actor" and that "no privileged Sixth Amendment communication was passed on to the prosecutors prosecuting Rawlins's case nor to any of the law enforcement officers who had been involved in investigating the case." But because there is no evidence that Hennessey ever delivered a copy of a trial strategy letter to the jail, it logically follows that no state actor intercepted or even saw the document in question. And the record amply supports the finding that jail guards did not communicate any privileged information to law enforcement or prosecutors involved in the case. The lead police investigator, all three prosecutors involved in the case, as well as Sergeant Storie, each testified that they had not intercepted, seen or heard about any trial strategy letter written by Rawlins to his attorney. Sufficient evidence supports these findings, which in turn support the court's conclusion that no state actor violated Rawlins's Sixth Amendment rights.

Rawlins also contends the evidentiary hearing was inadequate, arguing that the court did not conduct a sufficient search into Hennessey's case file for the

missing letter and the State failed to call the two jail officers who might have been present at the front desk when Hennessey dropped it off. We reject these arguments. First, Hennessey testified that he reviewed his 2800-page case file three or four times and could not find any evidence that he ever received a trial strategy letter from Rawlins. Second, he produced several pages of contemporaneous notes, none of which substantiate Rawlins's claim regarding the contents of the missing document. There is no evidence in the record to indicate another search of the case file would yield any different result.

As for the two font desk jail officers who manned the front desk of the jail in 2019, both since retired, one had no recollection of receiving any legal mail for Rawlins and the other resides out of state. Typically, the defendant bears the burden of proving that a Sixth Amendment violation occurred. See State v. Kitt, 9 Wn. App. 2d 235, 243, 442 P.3d 1280 (2019) (defendant must prove Sixth Amendment ineffective assistance of counsel). Rawlins has failed to demonstrate how a three-day evidentiary hearing during which he had the opportunity to call any witness he sought to testify was inadequate.

Finally, Rawlins argues he received ineffective assistance of counsel when Hennessey failed to conduct a reasonable investigation into his claimed missing mail or failed to file a CrR 8.3(b) motion to dismiss for government misconduct. We deem these arguments to be without merit.

Whether a defendant received ineffective assistance of counsel is a mixed question of fact and law that we review de novo. <u>In re Pers. Restraint of Fleming</u>, 142 Wn.2d 853, 865, 16 P.3d 610 (2001). To demonstrate ineffective assistance

of counsel,

[f]irst, the defendant must show that counsel's performance was deficient. This requires showing that counsel made errors so serious that counsel was not functioning as the "counsel" guaranteed the defendant by the Sixth Amendment. Second, the defendant must show that the deficient performance prejudiced the defense. This requires showing that counsel's errors were so serious as to deprive the defendant of a fair trial, a trial whose result is reliable.

Strickland v. Washington, 466 U.S. 668, 687, 104 S. Ct. 2052, 80 L. Ed. 2d 674 (1984). See also State v. Benn, 120 Wn.2d 631, 845 P.2d 289 (1993). "There is a strong presumption that counsel has rendered adequate assistance and has made all significant decisions in the exercise of reasonable professional judgment." Id. at 665.

Hennessey testified he decided not to file a CrR 8.3(b) motion because after researching applicable case law, he did not believe he would be able to "demonstrate the kind of prejudice that would make this kind of motion successful." Given that the evidentiary hearing revealed no evidence to corroborate Rawlins's claim that the State had intercepted privileged communications, Rawlins has failed to demonstrate that Hennessey's decision not to file a baseless CrR 8.3(b) motion fell below the minimum objective standard. Nor can Rawlins establish prejudice resulting from this decision. Each of the prosecutors involved in Rawlins's case testified that they never saw the document. Rawlins also makes no argument that the contents of the document were used to further his prosecution or undermine his defense. We reject Rawlins's ineffective assistance of counsel claim.

#### B. Jury Taint and Right to an Impartial Jury

Rawlins next argues that the trial court violated his right to an impartial jury after a prospective juror made statements during voir dire about her drug-addicted daughter's potential acquaintance with Rawlins. We conclude Juror 48's disclosure was not so prejudicial as to taint the jury pool.

#### 1. Facts relating to jury selection

During voir dire, the court read the charges against Rawlins to the jury. including count nine, possession of methamphetamine, with the intent to deliver, count ten, possession of heroin, and count eleven, possession of methamphetamine. The court then asked if any member of the jury pool knew Rawlins. No one indicated that they did. The court then asked if anyone has "any personal experience similar to the type of case that we're dealing with?" Juror number 48 responded stating "[s]o I have a daughter who has been homeless and on drugs in Bellingham for 10 years . . . I know she's probably had some interaction, and I cannot be impartial. I can't, I can't." (Emphasis added). The court instructed the juror not to go into any detail, and asked, "[are] you . . . telling me that you have some personal experiences or opinions perhaps of this sort of case of the parties involved and that sort of thing. Would that make it impossible for you to sit as a fair juror to everybody concerned?" Juror 48 stated she could not be impartial and "[it] wouldn't be fair to him. I don't want to elaborate because I'll get personal, and that's not right either." Juror 48 reiterated she could not be impartial. The court dismissed this juror for cause without objection from either party.

Defense counsel then moved for a mistrial, arguing that Juror 48 had gestured at Rawlins while making her statements and by doing so, had tainted the jury pool with out-of-court knowledge. The court recognized that Juror 48 had gestured at Rawlins, but refused to impanel a new jury, concluding that the comments did not taint the jury pool. The court stated:

[I]t's clear that she made [a] statement that her daughter had been on the streets for ten years or so; that she was involved in drugs and kind of pointed her card in her hand and was looking directly towards Mr. Rawlins, the defendant, and made statements to the effect that, and I believe she's had contact with Mr. Rawlins of some sort. That raised antennas in my mind that if there was more said that there was going to be some concerns. Frankly, that's why I stopped her, in essence, and didn't invite any further discussion from her.

The court acknowledged it had released Juror 48 without any follow-up with the rest of the panel, but it decided not to do so to avoid the possibility of tainting the jury by drawing attention to Juror 48's comments. The court also noted that both parties had had the opportunity to ask follow-up questions to the remaining jurors, but chose not to do so. And the court did not believe additional voir dire of the panel or of jurors individually "would have helped the situation in this case." Rawlins did not ask the court to engage in any further questioning of the jury, either in the immediate aftermath of Juror 48's release, or during the argument on Rawlins's motion for mistrial.

After the jury was selected and sworn, the court instructed the jury to follow the court's instructions and consider as evidence only those exhibits and testimony the court admitted during trial. At the conclusion of trial, the court once again instructed the jury it could consider only the testimony of witnesses and exhibits the court admitted during the trial as evidence.

#### 2. Analysis

We must first determine the applicable standard of review. Rawlins initially framed the assignment of error as an erroneous denial of his motion for a mistrial due to an irregularity in jury selection. Generally, the denial of a motion for mistrial will be overturned only when there is a substantial likelihood that prejudice occurred and that it actually affected the jury's verdict. State v. Young, 129 Wn. App. 468, 472-73, 119 P.3d 870 (2005). Because the trial judge is best suited to judge the prejudice of a statement, this court applies the abuse of discretion standard to a decision to deny a mistrial motion. Id.

Rawlins, however, asks us to apply a de novo standard of review because while he raised Juror 48's statements in a motion for mistrial, the trial itself had not actually begun and Rawlins's motion was more analogous to a request to replace a tainted venire with an untainted one. Rawlins argues the constitutional implications of improper disclosures to a jury pool demand a de novo standard of review because the issue raises a mixed question of law and fact.

Both the federal and state constitution guarantee a defendant's right to a fair and impartial jury. State v. Davis, 141 Wn.2d 798, 824, 10 P.3d 977 (2000). And questions of constitutional law are reviewed de novo. State v. Jorgenson, 179 Wn.2d 145, 150, 312 P.3d 960 (2013). Rawlins relies on State v. Strange, 188 Wn. App. 679, 684-85, 354 P.3d 917, review denied, 184 Wn.2d 1016, 360 P.3d 818 (2015), in which Division Two reviewed de novo the question of whether a potential juror's comments that victims of child molestation generally "don't make that accusation . . . for no reason" tainted the venire, thereby denying the defendant

his right to an impartial jury. <u>Id.</u> at 682. But Strange did not move for a mistrial during voir dire or object to this juror's comment and, as a result, the trial court had no opportunity to consider whether any of the prospective jurors' statements might have compromised the petit jury's ability to be impartial. <u>Id.</u> at 686, fn.5.

Here, the court had the opportunity to evaluate whether Juror 48's comment might have compromised the remaining prospective jurors' ability to be impartial. Under such circumstances, there are strong reasons to apply an abuse of discretion standard. First, a trial court has considerable discretion in supervising the voir dire process. <a href="Davis">Davis</a>, 141 Wn.2d at 825. Second, as the Supreme Court has recognized, a trial judge is in the best position to understand what was said, how it was said, who heard the comment, and what impact, if any, such a statement had on others in the courtroom.

In analogous situations where a testifying witness makes an improper comment, a trial court has wide discretion to cure that type of trial irregularity. State v. Post, 118 Wn.2d 596, 620, 826 P.2d 172 (1992). Rawlins's argument that improper statements by prospective jurors impaired his right to a fair trial appears analogous to an argument that improper statements by witnesses impaired the same constitutional right. We will therefore review this assignment of error under an abuse of discretion standard.

Rawlins next argues that Juror 48's comment should be deemed a structural error, requiring reversal, whether or not he can demonstrate prejudice. A structural error is a special category of constitutional error that affects the framework within which the trial proceeds, rather than an error in the trial process. State v. Wise,

176 Wn.2d 1, 13-14, 288 P.3d 1113 (2012). Structural errors are presumed prejudicial and are not subject to the harmless error analysis. <u>Id.</u> at 14. Where structural error has occurred, a defendant is not required to prove specific prejudice in order to obtain relief. <u>Id.</u>

No Washington court has held that a trial court's refusal to impanel a new venire based on the possibility of jury taint constitutes structural error. It is well-established that the presence of a biased juror is a structural error. State v. Irby, 187 Wn. App. 183, 193, 347 P.3d 1103 (2015); State v. Winborne, 4 Wn. App. 2d 147, 171, 420 P.3d 707 (2018). In Young, 129 Wn. App. at 479, this court applied a harmless error standard to evaluate whether inadvertently informing the jury of the details of a defendant's prior felony conviction tainted the jury and warranted a new trial. We see no reason not to apply the constitutional harmless error standard to Rawlins's jury taint claim.

Rawlins relies on Mach v. Stewart, 137 F.3d 630, 633 (9th Cir. 1997), to support his structural error argument. In that case, a prospective juror said she was an expert in child psychology and had never seen a case in which a child had lied about being sexually assaulted. In evaluating the denial of a motion for a mistrial, the Ninth Circuit stated that the comments "arguably" rose to the level of structural error. Id. But it declined to determine whether the structural error or harmless error standard applied because it concluded that even under a harmless error analysis, the potential juror's statements required reversal. Id. at 634. We therefore decline to follow the Ninth Circuit's equivocal application of the structural error doctrine here.

Rawlins next argues Juror 48's comments were prejudicial because they suggested she had out-of-court knowledge of Rawlins's involvement with drugs and several of the charges against him were drug-related. He contends the statements were so prejudicial as to warrant a new trial. We disagree.

Washington courts consider three factors when deciding whether a trial irregularity warrants a new trial: (1) the seriousness of the irregularity, (2) whether the statement was cumulative of properly admitted evidence, and (3) whether the irregularity could be cured by an instruction. Post, 118 Wn.2d at 620.

Rawlins relies on Mach to support his contention that Juror 48's comments warrant a reversal of his conviction. Mach, however, is distinguishable. In that case, Mach was convicted in Arizona state court of child sexual molestation. Id. at 631. During voir dire, a potential juror stated that she had expertise in the field of child psychology and that, in her experience, a child's allegations of sexual abuse are always true. Id. at 632-33. The trial judge questioned the juror at length about her experiences and the juror made similar statements at least three times. Id. at 632. The court denied Mach's motion for a mistrial but dismissed the juror for cause. Id.

In a subsequent habeas corpus proceeding, the Ninth Circuit reversed Mach's conviction, reasoning that, "[g]iven the nature of [the] statements, the certainty with which they were delivered, the years of experience that led to them, and the number of times that they were repeated," the jury had been tainted so as to violate Mach's right to an impartial jury. <u>Id.</u> at 633. It further stated that, "[a]t a minimum, when Mach moved for a mistrial, the court should have conducted

further voir dire to determine whether the panel had in fact been infected by [the juror's] expert-like statements." <u>Id.</u>

In this case, however, Juror 48 did not profess to be an expert in the field of drug trafficking or drug dealers in Bellingham. Juror 48 did state that her daughter, a drug addict, "probably had some interaction" with Rawlins, but she did not indicate that she knew Rawlins and made no statement that she knew Rawlins had in fact sold drugs to her daughter. Nor did she repeat her statements on multiple occasions. Finally, Rawlins had the opportunity to ask follow-up questions of the remaining panel members or to ask the court to do so, but chose neither path. And the trial court justified its rationale for not engaging in any further questioning about the juror's statement by citing the fact that inquiring further would have only served to underscore the seriousness of Juror 48's comments, a concern that was echoed by defense counsel.

This case is more analogous to <u>Strange</u>. In that child molestation case, a potential juror stated during voir dire that "it has just been my experience people don't make that accusation, you know, for no reason. Like, I feel like if an accusation was made there had to be something that had happened." <u>Strange</u>, 188 Wn. App at 682. The court held that this statement did not taint the jury, distinguishing from <u>Mach</u> on the basis that "(1) no prospective juror professed any expertise about these cases, and (2) none of the prospective jurors in this case stated multiple times that, in their experience, children who are sexually abused never lie about their abuse." Id. at 684-86. The same is true here.

This case is also factually distinguishable from Young where this court concluded that the trial court's inadvertent disclosure of the details of the defendant's prior assault conviction to the venire created prejudice so substantial that it required a new trial. Young, 129 Wn. App. at 473. The parties in Young had stipulated to the defendant's prior felony conviction, but agreed not to disclose the nature of the offense. Id. at 472. The trial court, however, read to the jury directly from the charging information and thus inadvertently disclosed that Young had been previously convicted of second degree assault. Id.

The trial court denied Young's motion for mistrial, explaining that it was not aware of the details of the parties' stipulation. On appeal, this court concluded that the court erred in denying the motion, reasoning that the disclosure was "inherently prejudicial" and the trial court's explanation for the denial of the motion for a mistrial did not address this prejudice. Id. at 475.

Unlike in <u>Young</u>, Juror 48's disclosure regarding her daughter was not inherently prejudicial for two reasons. First, the statement did not come from the judge presiding over the trial. Second, the statement was not a statement of fact about Rawlins but a possibility that her daughter would have had contact with Rawlins if the allegations against him were true. Juror 48's statements were general in nature and could have been interpreted as speculation and nothing more. Unlike <u>Mach</u> or <u>Young</u>, Juror 48's statements were made only once and did not reveal any actual out-of-court knowledge or experience with the defendant or personal knowledge of the allegations against him. Instead, as in <u>Strange</u>, the comments revealed nothing more than a potential juror's bias stemming from her

own personal experiences. Under these circumstances, the trial court did not abuse its discretion in concluding the comment was not serious enough to warrant dismissing the entire jury pool.

Rawlins also contends that Juror 48's statement was "akin to forbidden propensity evidence because it revealed Rawlins was involved in past drug activity." Even if true, however, any comments Juror 48 made suggesting Rawlins was involved in drug activity were merely cumulative when observed in the context of the ample evidence linking Rawlins to drug crimes. Police testified that, in Rawlins's trailer and the car used in the drive-by shooting, they found a ledger of drug transactions, multiple digital scales, and several "baggies" containing pills, methamphetamine, and heroine. In the face of this overwhelming evidence of drug activity, Juror 48's statement was cumulative at most.

Finally, although the trial court did not instruct the jury pool to disregard Juror 48's comment, it explained, in denying Rawlins' motion, that it had twice instructed the jury that it was to presume the defendant innocent and that the only evidence it was to consider is "what you hear in the courtroom from the witnesses and the exhibits that might be presented at court." And at the conclusion of trial, the trial court instructed the jury once again that the jury's decision "must be made solely upon the evidence presented during these proceedings."

Rawlins contends that Juror 48's comments were so prejudicial as to be "impervious to cure." Again, <u>Young</u> and <u>Strange</u> are instructive. In <u>Young</u>, this court found the trial court took no curative action to ameliorate the prejudice from disclosing details of a defendant's prior felony assault conviction. <u>Young</u>, 129 Wn.

App. at 477. In <u>Strange</u>, the court deemed the juror's comments insufficiently prejudicial and it did not even address whether a curative instruction was given or should have been given. But neither case suggests that a juror's comments evidencing bias against a defendant cannot be remedied through instructions from the court.

The trial court did not abuse its discretion in denying Rawlins's motion for a mistrial.

#### C. Admission of Victim's Medical Record

Rawlins next argues that the trial court erred in admitting a medical record relating to one of his victims, James Flores, under the business records exception to the rule against hearsay and that this error requires reversal of his hit and run conviction. He specifically contends that the State did not lay sufficient foundation for three of the elements of the business records exception. We reject this argument on the grounds that Rawlins waived the issue when he did not challenge the admissibility of this record under the business records exception at trial.

The business records exception to the rule against hearsay provides that:

[a] record of an act, condition or event, shall in so far as relevant, be competent evidence if the custodian or other qualified witness testifies to its identity and the mode of its preparation, and if it was made in the regular course of business, at or near the time of the act, condition or event, and if, in the opinion of the court, the sources of information, method and time of preparation were such as to justify its admission.

#### RCW 5.45.020.

Evidence thus falls under the business records exception when (1) the evidence was in the form of a record; (2) the record was of an act, condition or

event; (3) the record was made in the regular course of business; (4) it was made at or near the time of the act, condition or event; and (5) the court was satisfied that the sources of information, method and time of preparation were such as to justify its admission. State v. Kreck, 86 Wn.2d 112, 119, 542 P.2d 782 (1975). Rawlins argues that the State failed to lay the foundation of prongs (2), (4), and (5).

At trial, Rawlins first objected to the record on the basis that it contained a narration of events by a non-testifying witness. In response, the State redacted a significant number of Flores's statements and offered this redacted version. Rawlins then objected to the medical record's reliability and its relevance. The trial court overruled these objections.

For the first time on appeal, Rawlins argues the State failed to lay an adequate foundation for the court to admit the medical record under the business records exception. He specifically argues the State failed to present evidence of the method of the record's preparation, or evidence that the record documented an act, condition or event, or evidence that the record was made at or near the time of the documented act, condition or event. Rawlins raised none of these objections below.

RAP 2.5(a) states that "[t]he appellate court may refuse to review any claim of error which was not raised in the trial court." The purpose underlying issue preservation rules is to encourage the efficient use of judicial resources by ensuring that the trial court has the opportunity to correct any errors, thereby

avoiding unnecessary appeals. <u>State v. Robinson</u>, 171 Wn.2d 292, 304-05, 253 P.3d 84 (2011).

Here, the trial court did not have the opportunity to correct the errors Rawlins now alleges on appeal. The State called Erin Dang, Health Information Management Training Manager at Peace Health, to lay the foundation. She testified that it is her job to keep medical records at the hospital where Flores was taken after the shooting, that the hospital keeps accurate records, and that Flores's record was made in the regular course of business. Rawlins did not raise an objection to the sufficiency of this foundational testimony.

After Dang testified, defense counsel stated he had no questions for her. If Rawlins had any concerns about the foundation the State made to the record's authenticity, he could have questioned Dang when she was on the witness stand and provided the court with the opportunity to correct the errors he now claims. He did not do so and therefore the issue has been waived.

#### D. Jury Unanimity on Count Four's Unlawful Possession of Firearm

Rawlins argues for the first time on appeal that his right to jury unanimity was violated when the State failed to provide a unanimity instruction or clearly elect the specific act on which it based the charge of unlawful possession of the firearm in count four. We agree.

#### 1. Factual background on firearm possession charges

Counts four, six and seven of the amended information each charged Rawlins with unlawful possession of a firearm, all occurring on or about March 19, 2018. In count four, the State had to prove that Rawlins knowingly had a

"Springfield XD handgun" in his possession or control. In count six, the State had to prove he knowingly possessed or controlled a "Savage brand .308 rifle." And in count seven, the State had to prove Rawlins knowingly possessed an "Eastern Arms Company .410 shotgun." The jury convicted him of all three charges.

#### 2. Analysis

Rawlins contends it was unclear from the record whether count four, possession of the Springfield firearm, was based on the shooting incident in Burlington, Skagit County, on March 19, 2018, or based on the discovery of the gun in Rawlins's travel trailer the following day in Whatcom County. The State first counters that the invited error doctrine precludes Rawlins from raising this issue on appeal because he did not propose a unanimity instruction at trial. We reject this argument.

Under the invited error doctrine, a defendant may not challenge jury instructions, which he proposed, for the first time on appeal. <u>State v. Henderson</u>, 114 Wn.2d 867, 868, 792 P.2d 514 (1990). The doctrine does not preclude defendants from challenging jury instructions the defendant did not propose. <u>State v. Thomas</u>, 150 Wn.2d 821, 844, 83 P.3d 970 (2004).

The State relies on <u>State v. Carson</u>, 179 Wn. App. 961, 973, 320 P.3d 185 (2014), in which Division Two stated that "[the invited error] doctrine applies to alleged failures to provide a <u>Petrich</u> unanimity jury instruction," citing <u>State v. Corbett</u>, 158 Wn. App. 576, 592, 242 P.3d 52 (2010). However, in <u>Carson</u>, the defendant actually objected to the State's proposed unanimity instruction during trial, thus clearly inviting the error when the trial court declined to include the

instruction based on "defense counsel's strong, repeated objections." <u>Carson</u>, 179 Wn. App. at 969, 973-74. And in <u>Corbett</u>, the defendant proposed the jury instructions he sought to challenge on appeal, thus also clearly falling within the invited error doctrine. <u>Corbett</u>, 158 Wn. App. at 591. The State offers no authority for its argument that failure to offer a unanimity instruction alone constitutes invited error. Here, Rawlins did not provide the instruction he now challenges as inadequate. We therefore conclude that the invited error doctrine does not preclude Rawlins from raising the issue on appeal.

In Washington, a defendant may be convicted only when a unanimous jury concludes that the criminal act charged in the information has been committed. <a href="State v. Stephens">State v. Stephens</a>, 93 Wn.2d 186, 190, 607 P.2d 304 (1980).

When the evidence indicates that several distinct criminal acts have been committed, but the defendant is charged with only one count of criminal conduct, jury unanimity must be protected. . . . The State may, in its discretion, elect the act upon which it will rely for conviction. Alternatively, if the jury is instructed that all 12 jurors must agree that the same underlying criminal act has been proved beyond a reasonable doubt, a unanimous verdict on one criminal act will be assured. When the State chooses not to elect, this jury instruction must be given to ensure the jury's understanding of the unanimity requirement.

<u>State v. Petrich</u>, 101 Wn.2d 566, 572, 683 P.2d 173 (1984). Courts consider several factors when determining whether the State elected a specific act, including the charging document, evidence, instructions, and closing argument. <u>State v. Kier</u>, 164 Wn.2d 798, 813-14, 194 P.3d 212 (2008).

The State argues it elected to base count four on Rawlins's possession of the Springfield firearm on the night of the shooting in Burlington on March 19. The record does not support this argument. The State relies on the prosecutor's closing statements to demonstrate a clear election, primarily focusing on the statement, "[t]he issues that occurred here in Skagit County . . . are the drive-by shootings, the two assaults in the 1st Degree, and unlawful possession of a firearm because that Springfield XD is the firearm that was possessed and used here in Skagit." But the prosecutor's statement that the gun was used in Skagit County was shortly followed by the assertion that "Rawlins was found to be in possession of [the] firearm that was used down here in Skagit County, up in Whatcom County. That firearm was located with his documentation, in his safe, [in] his trailer." The prosecutor never clearly informed the jury that conviction of count four must be based solely on the act of possessing the gun while in Skagit County on March 19.

Moreover, our Supreme Court has held that, when reviewing the question of whether the State elected a single criminal act, appellate courts "cannot consider the closing statement in isolation." Kier, 164 Wn.2d at 813. In Kier, the defendant challenged his conviction of robbery and assault stemming from an incident involving two victims, arguing that the State failed to make a clear election of which crime applied to which victim. Id. at 811. As in this case, the State argued that it had made a clear election during closing statements, identifying one victim for the crime of robbery and one for the crime of assault. Id. at 813. The Supreme Court disagreed, concluding that the evidence "identified both Hudson and Ellison as victims of the robbery, including Ellison's own testimony that Kier pointed the gun

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<sup>&</sup>lt;sup>2</sup> Although not noted by the State, the third amended information alleged under count four that the crime occurred in Skagit County, where Burlington is located, and not Whatcom County, where Rawlins resided. But the "to convict" instruction for count four did not require the State to prove that the possession occurred in Skagit County.

at him in the course of stealing the car. Furthermore, the jury instructions did not specify that Hudson alone was to be considered a victim of the robbery." <u>Id.</u> The State relies on <u>State v. Thompson</u>, 169 Wn. App. 436, 474, 290 P.3d 996 (2012), to support its argument that the prosecutor's verbal statement in closing was a sufficient election of a criminal act. However, consistent with <u>Kier</u>, the jury instructions in <u>Thompson</u> specified the elected criminal act by clearly identifying the intended victim. Id. at 474-75.

This case is analogous to <u>Kier</u> and distinguishable from <u>Thompson</u>. In this case, based on the totality of the evidence and argument presented to the jury, the State failed to make a clear election. Although the State's amended information alleged that the unlawful possession charged in count four occurred in Skagit County, this allegation was not clearly conveyed to the jury.

The jury was instructed in Instruction 25 that "Possession means having a firearm in one's custody or control. It may be either actual or constructive." Police officers testified that when they searched Rawlins's travel trailer on March 20, they found a Springfield XD 9 mm subcompact handgun sitting on top of paperwork inside a safe. The State also offered testimony that the casings collected on March 19 from the scene of the shooting came from the Springfield XD that the police recovered on March 20. The jury thus heard evidence that Rawlins had actual or constructive control of the Springfield XD both in Burlington on March 19 and in Whatcom County on March 20. We cannot conclude from the evidence, the jury instructions, and the State's closing argument that it clearly elected to base count four on possession of the Springfield XD handgun during the March 19 shooting in

Burlington, rather than at the time his travel trailer was searched on March 20 in Whatcom County.

Because the State did not clearly elect the criminal act on which it was relying for possession of the Springfield XD handgun, a Petrich instruction was necessary. Failure to give a Petrich instruction, when required, is reversible error unless the error is harmless beyond a reasonable doubt. Camarillo, 115 Wn.2d at 64. In multiple acts cases, the standard of review for harmless error is whether a "rational trier of fact could find that each incident was proved beyond a reasonable doubt." Id. at 65 (quoting State v. Gitchel, 41 Wn. App. 820, 823, 706 P.2d 1091 (1985)). The State argues the error was harmless because there was ample evidence that Rawlins had dominion and control over the Springfield XD handgun both in Skagit County on March 19 and in Whatcom County on March 20. We agree.

Justice Connell testified that he, James Flores, and two other young men, met Rawlins at the Cascade Mall parking lot in Burlington to purchase drugs. Flores got into Rawlins's Dodge Caravan to talk to Rawlins. Flores returned and the group started to leave. As they did so, Rawlins rammed their Dodge Caliber from behind and then chased them into a residential neighborhood, when someone from inside Rawlins's van fired at least two shots at the Caliber. The bullets and shell casings recovered from the scene of the shooting matched the weapon subsequently found in Rawlins's safe.

This evidence is sufficient to establish that Rawlins or an accomplice shot the Springfield XD handgun at Connell and Flores. One can be in constructive possession of a firearm jointly with another person. <u>State v. Turner</u>, 103 Wn. App. 515, 521, 13 P.3d 234 (2000). Although mere proximity to a firearm or knowledge of its presence, without more, is insufficient to show dominion and control, both may be considered in evaluating whether the defendant had the ability to reduce the weapon to actual possession. <u>State v. Chouinard</u>, 169 Wn. App. 895, 899, 282 P.3d 117 (2012).

Courts have found sufficient evidence of constructive possession, and dominion and control, in cases in which the defendant was the owner of the vehicle where the firearm was found. <u>Id.</u> at 900. Indeed, where there is control of a vehicle, knowledge of a firearm inside it, an extended duration of time when the firearm is in the vehicle, and the defendant's failure to reject the presence of the firearm in the vehicle, there is sufficient evidence to find constructive possession. <u>Turner</u>, 103 Wn. App. at 524.

Here, there is direct evidence that Rawlins owned the Dodge Caravan involved in the shooting on March 19 and that Rawlins was driving that vehicle at the time someone shot at Flores and Connell. There is direct forensic evidence that the gun fired from the van is the Springfield XD handgun found in Rawlins's safe. There is circumstantial evidence that Rawlins knew that the handgun was in his van and that it remained in his van from the time of the shooting until Rawlins drove to his home that night. There is also circumstantial evidence Rawlins knew someone took the gun out of his van and placed in into his safe inside his travel trailer. Thus, the evidence is sufficient to prove knowledge of the gun's presence in Rawlins's car and home, his proximity to that firearm when it was shot from

inside his van, an extended duration of time that the firearm would have been in his van while in transit home, and no evidence Rawlins took any steps to reject the presence of the firearm in his vehicle.

Although the trial court erred in failing to provide a <u>Petrich</u> instruction as to count four, we conclude the error was harmless.

#### E. Same Criminal Conduct

Rawlins next argues that the trial court erred in calculating his offender score because the three convictions for unlawful possession of a firearm, counts four, six, and seven, constitute the same criminal conduct. The State conceded at trial that counts six and seven, possession of the rifle and shotgun found in the Whatcom County trailer on March 20, constituted the same criminal conduct, but argued that count four relating to the possession of the Springfield handgun did not. We conclude the trial court did not abuse its discretion in rejecting Rawlins's same criminal conduct argument.

RCW 9.94A.589(1)(a) provides that, for the purposes of determining a sentencing range, charged offenses encompassing the same criminal conduct shall be counted as one crime. "Same criminal conduct" is defined as "two or more crimes that require the same criminal intent, are committed at the same time and place, and involve the same victim." RCW 9.94A.589(1)(a). This court reviews determinations of same criminal conduct for abuse of discretion or misapplication of the law. State v. Graciano, 176 Wn.2d 531, 535-36, 295 P.3d 219 (2013). Under this standard, when the record supports only one conclusion on whether crimes constitute the "same criminal conduct," a sentencing court abuses its discretion in

arriving at a contrary result. <u>Id.</u> But where the record adequately supports either conclusion, the matter lies within the court's discretion. <u>Id.</u> The defendant bears the burden of proving same criminal conduct. <u>Id.</u> at 539. Rawlins has failed to meet that burden here.

In general, possessing multiple firearms at the same time and place constitutes the same criminal conduct. State v. Stockmyer, 136 Wn. App. 212, 219, 148 P.3d 1077 (2006); State v. Simonson, 91 Wn. App. 874, 885-86, 960 P.2d 955 (1998). In Simonson, we held that if the guns are in the same room of a house and readily available for use, possession of these weapons constitutes the same criminal conduct. In Stockmyer, however, we held that possessing multiple firearms in different rooms of a residence did not constitute the same criminal conduct as a matter of law. Stockmyer, 136 Wn. App. at 219. In that case, we concluded that because law enforcement found guns in different rooms in the defendant's house, the guns were in different places for purposes of the same criminal conduct test under RCW 9.94A.589(1)(a). Id. at 220.

In this case, the police found the Springfield XD handgun, the rifle, and the shotgun all inside Rawlins's 27-foot travel trailer at the same time—when they searched his home on March 20. But the court concluded, after reviewing <a href="Stockmyer">Stockmyer</a>, that possession of the Springfield XD was not the same criminal conduct as the possession of the rifle and shotgun because "the State pled it [as occurring in Skagit County] and the evidence presented to the jury [showed] the gun was tied to the Burlington site of the shooting through the shells . . . connected to that gun." The court found that possession of this handgun, charged in count

four, was not the same criminal conduct as the possession of the shotgun and rifle, charged in counts six and seven.

The trial court correctly noted that we narrowly construe the "same place" requirement in evaluating same criminal conduct. Stockmyer, 136 Wn. App. at 219. The trial court also correctly looked to the specific facts of the case before it to resolve the issue of whether the possession of multiple guns constituted the same criminal conduct. We conclude it did not misapply the law in reaching its same criminal conduct decision. We also conclude the trial court had a tenable factual basis for finding that Rawlins possessed this handgun at the different time (March 19 rather than March 20) and in a different location (Burlington rather than inside his travel trailer) than the shotgun and rifle. The evidence supports that finding. The trial court did not abuse its discretion.

### F. Sufficiency of the Evidence

Rawlins argues in his statement of additional grounds that the State did not prove that he was the individual who committed the charged offenses of drive by shooting, assault, and hit and run with injury, citing the unreliability of Connell's eyewitness testimony. We reject Rawlins's sufficiency challenge.

For the drive-by shooting, the jury had to find that Rawlins "or an accomplice" recklessly discharged a firearm from a motor vehicle. To prove first degree assault, the State had to prove that Rawlins "or an accomplice" committed an assault with a firearm. The State did not have to prove that Rawlins actually committed these crimes, only that he knowingly acted to promote or facilitate the commission of the crimes. RCW 9A.08.020(3)(a).

The evidence was more than sufficient to prove Rawlins's participation in these crimes as an accomplice. Even without Connell's testimony identifying Rawlins as the driver of the Dodge Caravan, the State presented ample evidence tying Rawlins to these offenses. Rawlins's Dodge Caravan matched the descriptions of the vehicle that chased Connell and Flores. That vehicle had damage consistent with the collision described by Connell. Connell and other eyewitnesses testified someone inside Rawlins's van shot at Connell's fleeing car. Law enforcement matched the bullet pulled from the Dodge Caliber to the Springfield XD handgun found in the trailer sitting on top of documents addressed to Rawlins.

To convict Rawlins of hit and run, the jury had to find Rawlins was driving a vehicle, that the vehicle was involved in an accident resulting in injury to a person, that Rawlins knew that he had been involved in an accident, and Rawlins failed to remain at the scene of the accident, report it to the police, and render aid to injured persons. Connell testified Rawlins was driving his car that night and he lost control of his Dodge Caliber, causing it to roll and injuring Flores. Surveillance video footage depicted Rawlins and another individual pulling up to the car crash, getting out to examine the car, and then returning to the van and leaving the site of the accident. Flores's medical records established the injury he sustained in the accident. This evidence provided a sufficient basis for any reasonable juror to conclude that Rawlins committed the crime of hit and run.

# G. <u>Trial court's authority to correct legal errors in the Judgment and Sentence</u>

Finally, Rawlins challenges the trial court's order amending the judgment and sentence to include a 60-month firearm enhancement, instead of the 30-month exceptional sentence the court originally imposed. He argues that the trial court exceeded the scope of this court's remand order and that the only method by which the State could have challenged a legal error in the exceptional sentence was by way of a cross-appeal. Rawlins also maintains the doctrine of judicial estoppel bars the State from seeking to correct a sentence it explicitly agreed to recommend.

First, we conclude Rawlins's challenge to the scope of our remand order is moot. We permitted the trial court to enter the amended judgment and sentence under RAP 7.2(e) while allowing Rawlins to raise arguments regarding the trial court's authority to correct his judgment and sentence.

Second, the State is not judicially estopped from asking the court to correct a sentence that is not authorized by law. Under the doctrine of judicial estoppel, a party is precluded from asserting one position in a court proceeding and later seeking an advantage by taking a clearly inconsistent position. Serpanok Const. Inc. v. Point Ruston, 19 Wn. App. 2d 237, 256, 495 P.3d 271 (2021). We consider three factors to determine whether judicial estoppel applies: (1) whether the party's later position is clearly inconsistent with its earlier position; (2) whether accepting the new position would create the perception that a court was misled; and (3) whether a party would gain an unfair advantage from the change. State v. Wilkins, 200 Wn. App. 794, 803, 403 P.3d 890 (2017).

The State concedes that its position on remand was inconsistent with its position at the original sentencing hearing. However, the second factor weighs in favor of the State, as the inconsistent positions do not create the perception that the trial court was misled. Rawlins's original sentence contained a clear legal error. RCW 9.94A.533(3)(a) imposes a 60-month firearm enhancement for offenders who commit a class A felony while armed with a firearm. These firearm enhancements "are mandatory, shall be served in total confinement, and shall run consecutively to all other sentencing provisions." RCW 9.94A.533(3)(e). It is obvious from the record that the prosecuting attorney and the trial court were unaware of State v. Brown, 139 Wn.2d 20, 29, 983 P.2d 608 (1999), overruled in part on other grounds by State v. Houston-Sconiers, 188 Wn.2d 1, 391 P.3d 409 (2017), in which the Supreme Court held that the trial court has no discretion to deviate downward from the mandatory 60-month firearm enhancement provision when sentencing adult offenders. There is no suggestion that the prosecutor recommended a sentence to the trial court knowing that it was unlawful.

We also fail to see any "unfair advantage" the State gained by this legal error. Rawlins received the benefit of a sentence that his counsel, as well as the State, should have known was not permissible under the Sentencing Reform Act. The fact that the State agreed to an exceptional sentence at the original sentencing hearing did not preclude Rawlins from making other arguments for a lawful mitigated sentence. He specifically asked the court for a sentence below the standard range under RCW 9.94A.535(1)(c), (d), and (f). The court stated, at sentencing, that "I don't believe . . . there's any mitigating factors that would warrant

going along the standard range outline based upon the offender scores [and] the charges involved here." At resentencing, Rawlins again sought an exceptional sentence, arguing that even if the court could not legally impose 30-month firearm enhancements, the court should adjust the sentence in a legal manner by departing downward on the standard range to achieve the same result. The court refused this request. Although Rawlins argued he was entitled to the "benefit of the bargain," the sentence was not a part of any negotiated plea. While the State made a recommendation and characterized it as an agreed recommendation, the trial court was free to reject the agreement in light of Brown.

Rawlins argues that he was subject to an unfair detriment because he advanced his appeal with the understanding that he would not be put in the position of receiving a worse sentence on the firearm enhancements by doing so. But Rawlins actually received a benefit from the resentencing, even with the 60-month firearm enhancements. In addition to its request to modify the duration of the firearm enhancements, the State notified Rawlins and the court that his offender score for the drive-by shooting was undercounted by one point but deletion of the Blake convictions offset the score, so the corrected offender score did not change—it remained a "10." The State also discovered that the offender scores on counts four through eight needed to be reduced by two points, one point to reflect the vacated Blake convictions and one point representing an over-count in the original score, resulting in a reduced offender score of "8" for all of these convictions. The reduced offender scores resulted in a significant reduction in the

standard ranges. For these reasons, the State is not judicially estopped from moving to correct Rawlins's sentence.

Rawlins's final argument is that the State may not seek to correct a legal error in a judgment and sentence unless it does so by way of an appeal. We also reject this argument. A trial court may only impose a sentence authorized by statute. In re the Postsentence Review of Leach, 161 Wn.2d 180, 184, 163 P.3d 782 (2007). CrR 7.8(b)(4) provides that a trial court may grant relief if the judgment is void. In State v. Hardesty, 129 Wn.2d 303, 315, 915 P.2d 1080 (1996), our Supreme Court stated that "[a] court has jurisdiction to amend a judgment to correct an erroneous sentence, where justice requires, under CrR 7.8."

State v. Smissaert, 103 Wn.2d 636, 694 P.2d 654 (1985) is instructive. There, the trial court sentenced a defendant to a maximum term of 20 years in prison for the crime of murder in the first degree, but the court was statutorily required to impose a life sentence. Id. at 638. Two years later, the Board of Prison Terms and Parole notified the trial court of its mistake. The court corrected the mistake by amending the judgment and sentence to impose life imprisonment. Id. Our Supreme Court determined that the trial court had to amend the judgment and sentence to correct its legal error. Id. at 640, 644. Smissaert supports a conclusion that the trial court has the authority under CrR 7.8(4) to correct an invalid sentence, even though it resulted in the imposition of a more onerous judgment on the defendant, as long as the defendant is put in the same position he would have been in had the correct sentence been imposed originally. Id. at 640.

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Rawlins is now in the same position he would have been in had the correct sentence been imposed. Rawlins had the opportunity to request a valid exceptional sentence at the original sentencing hearing and the court refused. We see no detriment caused by the State's motion to correct the legal error in Rawlins's sentence.

Affirmed.

WE CONCUR:

# NIELSEN BROMAN & KOCH, PLLC

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#### **Transmittal Information**

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**Appellate Court Case Title:** State of Washington, Respondent v. Keith Rawlins, Appellant

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